

## Regulation

City Index is committed to maintaining the highest regulatory standards and integrity, and leading the foreign exchange brokerage marketplace by example.

## Overview

There is no central market and no global regulatory agency responsible for monitoring the activity of the currency markets. Regulation is left to each country. In Australia, the Reserve Bank of Australia monitors the banking system and the Australian Securities and Investments Commission regulates participants in the investment and financial markets. City Index is the holder of an Australian Financial Services Licence (Licence Number 336957).

## Anti-Money Laundering Policy

City Index is subject to the Anti-Money Laundering and Counter Terrorism Financing Act 2006 (Commonwealth of Australia) and has policies and procedures in place to ensure that it complies with the requirement of that Act.

We require two forms of identification:

- Picture identification, i.e. a passport or driver's license.
- One form of identification confirming the customer's address, i.e. phone bill or a utility bill.